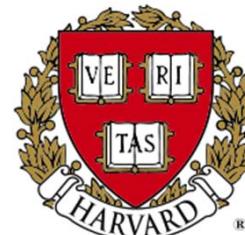
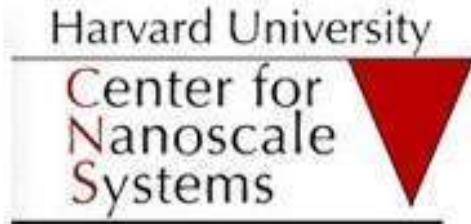


# ALD Precursors and Reaction Mechanisms

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# Outline

- Elements and Materials in ALD Films
- ALD Precursors for Non-Metals
- Types of ALD precursors for Metals
- Types of ALD Reactions

# **ELEMENTS AND MATERIALS IN ALD FILMS**

main group

metals

alkali metals

1

H	He	transition metals												13	14	15	16	17	18
Li	Be													B	C	N	O	F	Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12		Al	Si	P	S	Cl	Ar	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn		Ga	Ge	As	Se	Br	Kr	
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd		In	Sn	Sb	Te	I	Xe	
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg		Tl	Pb	Bi	Po	At	Rn	
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg		main group metals							

lanthanides

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
----	----	----	----	----	----	----	----	----	----	----	----	----	----

actinides

Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No
----	----	---	----	----	----	----	----	----	----	----	----	----	----

# Periodic Table

non-metals

metalloids or  
semi-metals

metals

halogens

18

# Elements in ALD Films

**M** = element in at least one ALD film

1																		18
H	2																	He
Li	Be																	Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	P	S	Cl	Ar	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr	
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe	
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg								

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No

Not used in ALD because the elements are

= low-volatility compounds

= radioactive

= highly toxic

= inert

# Combinations of Elements in ALD Films

ALD films have been made with combinations of 2 or more elements within a box

Underlined elements have been deposited as pure, single elements

1 O Li	2 O Be												13 N P	14 O C	15 B N
Na	O F Mg Te												N O P Al	N O P Si	B O Si P
K	O F Ca S Sc	N O Zr Ti Hf Al C	N O <u>Ti</u> S	O V	O Cr	N O <u>Mn</u> S Te	N O <u>Fe</u>	N O <u>Co</u>	N O C <u>Ni</u> S	N O Cu <u>S</u>	N O Zn Te Se	N O P Ga As	O <u>Ge</u> Sb Te	As	
Rb	O F Sr S Ti	N O Y S Si Zr Ti Al	N O Si Nb	N <u>Mo</u>	Tc	O <u>Ru</u>	O <u>Rh</u>	Pd	Ag	Cd S Te Se	N O P In S As Sb	O Sn S	O Sb Te		
Cs	O Ba S Ti	N O F Si La S Al	N O Si Hf Ta C	N O W S Re Si C	Re	Os	O Ir	Pt	Au	Hg Te	Tl	O Pb S Ti	O Si Bi Ti		
	O Ce	O Pr	O Nd	Pm	O Sm	O Eu	O Gd	O Tb	O Dy	O Ho	O Er	O Tm	O Yb	N O Lu	

Updated table from R. Puurunen, *J. Appl. Phys.* 97, 121301 (2005)

# ALD Materials by Type

Oxide dielectrics	$\text{Al}_2\text{O}_3$ , $\text{TiO}_2$ , $\text{ZrO}_2$ , $\text{HfO}_2$ , $\text{Ta}_2\text{O}_5$ , $\text{Nb}_2\text{O}_5$ , $\text{Sc}_2\text{O}_3$ , $\text{Y}_2\text{O}_3$ , $\text{MgO}$ , $\text{B}_2\text{O}_3$ , $\text{SiO}_2$ , $\text{GeO}_2$ , $\text{La}_2\text{O}_3$ , $\text{CeO}_2$ , $\text{PrO}_x$ , $\text{Nd}_2\text{O}_3$ , $\text{Sm}_2\text{O}_3$ , $\text{EuO}_x$ , $\text{Gd}_2\text{O}_3$ , $\text{Dy}_2\text{O}_3$ , $\text{Ho}_2\text{O}_3$ , $\text{Er}_2\text{O}_3$ , $\text{Tm}_2\text{O}_3$ , $\text{Yb}_2\text{O}_3$ , $\text{Lu}_2\text{O}_3$ , $\text{SrTiO}_3$ , $\text{BaTiO}_3$ , $\text{PbTiO}_3$ , $\text{PbZrO}_3$ , $\text{Bi}_x\text{Ti}_y\text{O}$ , $\text{Bi}_x\text{Si}_y\text{O}$ , $\text{SrTa}_2\text{O}_6$ , $\text{SrBi}_2\text{Ta}_2\text{O}_9$ , $\text{YScO}_3$ , $\text{LaAlO}_3$ , $\text{NdAlO}_3$ , $\text{GdScO}_3$ , $\text{LaScO}_3$ , $\text{LaLuO}_3$ , $\text{LaYbO}_3$ , $\text{Er}_3\text{Ga}_5\text{O}_{13}$
Oxide conductors or semiconductors	$\text{In}_2\text{O}_3$ , $\text{In}_2\text{O}_3:\text{Sn}$ , $\text{In}_2\text{O}_3:\text{F}$ , $\text{In}_2\text{O}_3:\text{Zr}$ , $\text{SnO}_2$ , $\text{SnO}_2:\text{Sb}$ , $\text{Sb}_2\text{O}_3$ , $\text{ZnO}$ , $\text{ZnO:Al}$ , $\text{ZnO:B}$ , $\text{ZnO:Ga}$ , $\text{RuO}_2$ , $\text{RhO}_2$ , $\text{IrO}_2$ , $\text{Ga}_2\text{O}_3$ , $\text{VO}_2$ , $\text{V}_2\text{O}_5$ , $\text{WO}_3$ , $\text{W}_2\text{O}_3$ , $\text{NiO}$ , $\text{CuO}_x$ , $\text{FeO}_x$ , $\text{CrO}_x$ , $\text{CoO}_x$ , $\text{MnO}_x$
Other ternary oxides	$\text{LaCoO}_3$ , $\text{LaNiO}_3$ , $\text{LaMnO}_3$ , $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$
Nitride dielectrics or semiconductors	$\text{BN}$ , $\text{AlN}$ , $\text{GaN}$ , $\text{InN}$ , $\text{Si}_3\text{N}_4$ , $\text{Ta}_3\text{N}_5$ , $\text{Cu}_3\text{N}$ , $\text{Zr}_3\text{N}_4$ , $\text{Hf}_3\text{N}_4$ , $\text{LaN}$ , $\text{LuN}$
Metallic nitrides	$\text{TiN}$ , $\text{Ti-Si-N}$ , $\text{Ti-Al-N}$ , $\text{TaN}$ , $\text{NbN}$ , $\text{MoN}$ , $\text{WN}_x$ , $\text{WN}_x\text{C}_y$ , $\text{Co}_x\text{N}$ , $\text{Sn}_x\text{N}$
II-VI semiconductors	$\text{ZnS}$ , $\text{ZnSe}$ , $\text{ZnTe}$ , $\text{CaS}$ , $\text{SrS}$ , $\text{BaS}$ , $\text{CdS}$ , $\text{CdTe}$ , $\text{MnTe}$ , $\text{HgTe}$
II-VI based phosphors	$\text{ZnS:M}$ ( $\text{M}=\text{Mn}, \text{Tb}, \text{Tm}$ ); $\text{CaS:M}$ ( $\text{M}=\text{Eu}, \text{Ce}, \text{Tb}, \text{Pb}$ ); $\text{SrS:M}$ ( $\text{M}=\text{Ce}, \text{Tb}, \text{Pb}$ )
III-V semiconductors	$\text{GaAs}$ , $\text{AlAs}$ , $\text{AlP}$ , $\text{InP}$ , $\text{GaP}$ , $\text{InAs}$
Fluorides	$\text{CaF}_2$ , $\text{SrF}_2$ , $\text{MgF}_2$ , $\text{LaF}_3$ , $\text{ZnF}_2$
Elements	$\text{Ru}$ , $\text{Pt}$ , $\text{Ir}$ , $\text{Pd}$ , $\text{Rh}$ , $\text{Ag}$ , $\text{Cu}$ , $\text{Ni}$ , $\text{Co}$ , $\text{Fe}$ , $\text{Mn}$ , $\text{Ta}$ , $\text{W}$ , $\text{Mo}$ , $\text{Ti}$ , $\text{Al}$ , $\text{Si}$ , $\text{Ge}$
Other semiconductors	$\text{PbS}$ , $\text{SnS}$ , $\text{In}_2\text{S}_3$ , $\text{Sb}_2\text{S}_3$ , $\text{Cu}_x\text{S}$ , $\text{CuGaS}_2$ , $\text{WS}_2$ , $\text{SiC}$ , $\text{Ge}_2\text{Sb}_2\text{Te}_5$
Others	$\text{La}_2\text{S}_3$ , $\text{Y}_2\text{O}_2\text{S}$ , $\text{TiC}_x$ , $\text{TiS}_2$ , $\text{TaC}_x$ , $\text{WC}_x$ , $\text{Ca}_3(\text{PO}_4)_2$ , $\text{CaCO}_3$ , organics

Adapted from M. Ritala and J. Niinisto, in *Chemical Vapor Deposition* (Royal Society of Chemistry, 2009)

# **ALD PRECURSORS FOR NON-METALS**

**oxygen**

**nitrogen**

**fluorine, carbon**

**sulfur, selenium, tellurium**

**phosphorus, arsenic, antimony**

# Non-Metals Important in ALD Films

**C = Carbon    N = Nitrogen    O = Oxygen    F = Fluorine**  
**P = Phosphorus    S = Sulfur**  
**Se = Selenium**

1																	18
H	2																He
Li	Be																Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	C	N	O	F
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	P	S	Cl	Ar
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu				
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No				

# ALD Precursors for Oxygen

Water vapor,  $\text{H}_2\text{O}$

Hydrogen peroxide,  $\text{H}_2\text{O}_2$ , sometimes more reactive than  $\text{H}_2\text{O}$   
(always accompanied by water)

Alcohols,  $\text{ROH}$ , such as methanol  $\text{CH}_3\text{OH}$  or ethanol  $\text{C}_2\text{H}_5\text{OH}$

Di-oxygen,  $\text{O}_2$ , the common form of oxygen in the air

Ozone,  $\text{O}_3$ , a more reactive form of oxygen, made in a plasma,  
can flow through tubing; (always accompanied by  $\text{O}_2$ )

Oxygen atoms, created in a plasma close to a substrate surface;  
so reactive that they can't travel far through tubing without  
recombining to form  $\text{O}_2$

Nitrogen dioxide,  $\text{NO}_2$  (always accompanied by its dimer  $\text{N}_2\text{O}_4$ )

# ALD Precursors for Nitrogen

Ammonia,  $\text{NH}_3$

Hydrazine,  $\text{N}_2\text{H}_4$ , is more reactive than  $\text{NH}_3$ , but toxic & explosive

Plasma-activated  $\text{NH}_3$  is more reactive than  $\text{NH}_3$

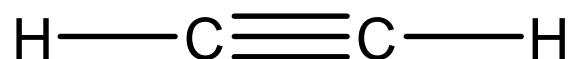
Dinitrogen,  $\text{N}_2$ , is normally unreactive under ALD conditions

Plasma-activated  $\text{N}_2$  is more reactive than  $\text{N}_2$

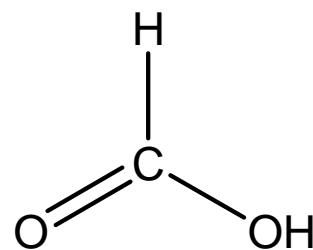
Nitric oxide,  $\text{NO}$ , can be used for nitrogen-doping of oxides

# ALD Precursors for Carbon

Acetylene gas



Formic acid vapor



Carbon contained in a metal compound

# ALD Precursors for Fluorine

Hydrogen fluoride gas, HF

Fluorine contained in a metal compound such as  $\text{WF}_6$

# ALD Precursors for Sulfur, Selenium and Tellurium

Elemental sulfur vapor,  $S_n$

Hydrogen sulfide gas,  $H_2S$  (poisonous, but sufficient warning by smell, if not chronically exposed)

Hydrogen selenide gas,  $H_2Se$  (very poisonous, without sufficient warning by smell)

Bis(triethylsilyl)selenium,  $(Et_3Si)_2Se$

Bis(triethylsilyl)tellurium,  $(Et_3Si)_2Te$

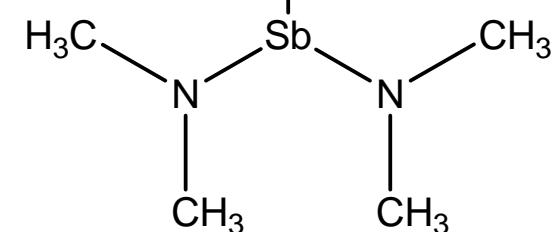
# ALD Precursors for Phosphorus, Arsenic and Antimony

phosphine gas, PH<sub>3</sub> (very poisonous)

arsine gas, AsH<sub>3</sub> (very poisonous)

antimony trichloride, SbCl<sub>3</sub>

tris(dimethylamido)antimony



# Elemental ALD Precursors

**Examples:**

**Non-metals O<sub>2</sub>, P<sub>4</sub>, S<sub>2</sub> or S<sub>8</sub>**

**Metals: Mg, Mn, Zn**

**Advantage: high purity**

**Disadvantage: low volatility (metals)**

1	H	2																18
Li	Be																	
Na	Mg	3	4	5	6	7	8	9	10	11	12	B	C	N	O	F	Ne	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Al	Si	P	S	Cl	Ar	
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	Ga	Ge	As	Se	Br	Kr	
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	In	Sn	Sb	Te	I	Xe	
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg		Tl	Pb	Bi	Po	At	Rn	

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu				
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No				

# **TYPES OF ALD PRECURSORS FOR METALS**

**pure elements**

**metal hydrides**

**metal halides: fluorides, chlorides, bromides, iodides**

**metal-carbon bonds: alkyls, cyclopentadienyls**

**metal-oxygen bonds: alkoxides, beta-diketonates**

**metal-nitrogen bonds: amides, imides, amidinates**

# Metal Compounds for ALD

Most metal compounds used in ALD have 1 or 2 metal atoms, M, combined with 1 or more “ligands”, L, written as monomers  $ML_n$  or dimers  $M_2L_n$ , where n = 1, 2, 3, 4, 5 or 6.

The ligands, L, contain 1 or more non-metal atoms.

The metal atoms, M, may be considered to have  $\geq 1$  units of positive charge.

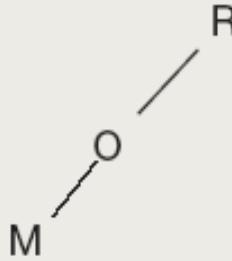
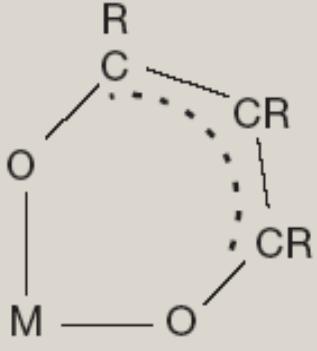
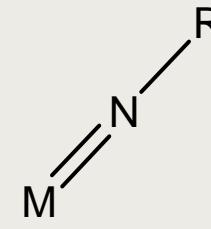
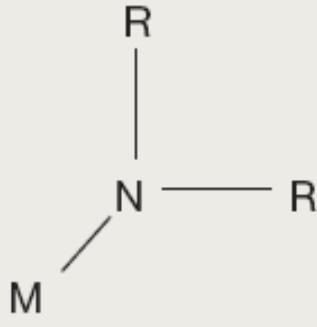
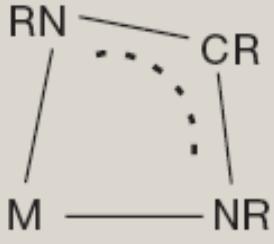
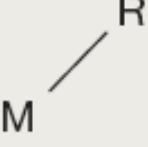
Metals with 1 unit of positive charge  $M^+$  may be written M(I), and are said to be in oxidation state +1.

Metals with 2 units of positive charge  $M^{2+}$  may be written M(II), and are said to be in oxidation state +2, etc.

Most ligands used in ALD can be considered to have electrical charge -1. A few ligands, e.g. oxides ( $O^{2-}$ ) and imides ( $NC_xH_{2x+1})^{2-}$ ), have charge -2.

The total charges of the metal and ligands in a precursor must add to zero.

# Types of Metal Precursors for ALD

 <p>Halides, where X = F, Cl, Br, I</p>	 <p>Alkoxides</p>	 <p><math>\beta</math>-diketonates</p>	 <p>Alkylimides</p>
 <p>Alkylamides</p>	 <p>Amidinates</p>	 <p>Alkyls</p>	 <p>Cyclopentadienyls</p>

R = alkyl group =  $C_nH_{2n+1}$

# Elements with Hydride ALD Precursors

Hydrides are compounds of an element X and hydrogen

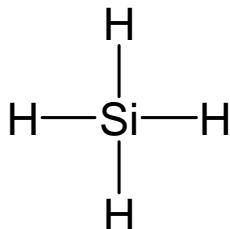
$$XH_n \quad n = 1, 2, 3 \text{ or } 4$$

1																			18
H	2																	He	
Li	Be																		
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	B	C	N	O	F	Ne	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Si	P	S	Cl	Ar		
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Ge	As	Se	Br	Kr		
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Sn	Sb	Te	I	Xe		
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg									

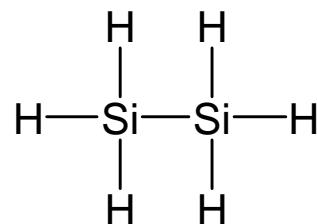
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu					
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No					

# Examples of Hydride Precursors

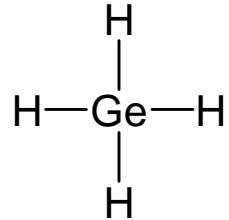
$\text{SiH}_4$  = silane



$\text{Si}_2\text{H}_6$  = disilane,  
more reactive than silane



$\text{GeH}_4$  = germane



**Advantage:**  
very volatile

**Disadvantage:**  
pyrophoric and toxic

# Elements with Halide ALD Precursors

Halides are compounds of an element M and a halogen X = F, Cl, Br or I

$$MX_n \quad n = 1, 2, 3, 4, 5 \text{ or } 6$$

1																								18
H	Be																							He
Li	Mg	3	4	5	6	7	8	9	10	11	12													
Na												Al	Si	P	S	Cl	Ar							
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr							
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe							
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn							
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg														
			Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu								
			Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No								

## Advantages:

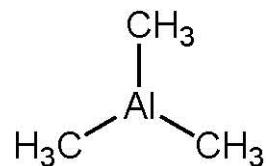
thermally stable  
usually inexpensive

## Disadvantages:

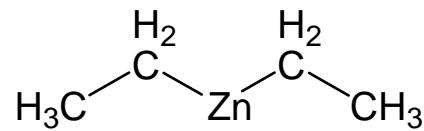
halogen impurities in films  
corrosive byproducts  
low volatility for some elements

# Metal Alkyl ALD Precursors

$(\text{CH}_3)_3\text{Al}$  = trimethylaluminum



$(\text{CH}_3\text{CH}_2)_2\text{Zn}$  = diethylzinc



**Advantage:** volatile, highly reactive in ALD

**Disadvantage:** hazardous, burst into flame in air (pyrophoric)

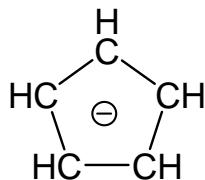
# Elements with Alkyl ALD Precursors

1																	18
H	2																He
Li	Be																Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Al	Si	P	S	Cl	Ar	
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Zn	Ga	Ge	As	Se	Br	Kr
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Cd	In	Sn	Sb	Te	I	Xe
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Hg	Tl	Pb	Bi	Po	At	Rn

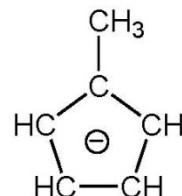
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu			
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No			

# Cyclopentadienyl Ligands

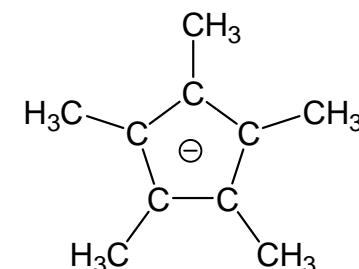
**Cp = cyclopentadienyl**



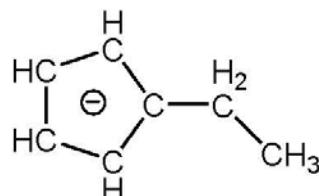
**MeCp = methylcyclopentadienyl**



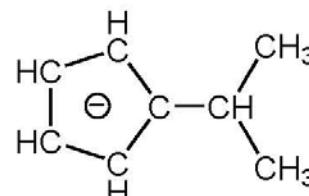
**$\text{Me}_5\text{Cp} = \text{Cp}^* = \text{pentamethylcyclopentadienyl}$**



**EtCp = ethylcyclopentadienyl**



**iPrCp = isopropylcyclopentadienyl**



# Cyclopentadienyl ALD Precursors

1																	18
H	2																He
Li	Be																Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12						Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu			
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No			

**Advantage:**

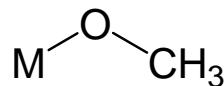
thermally stable

**Disadvantages:**

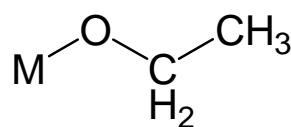
some have low reactivity (Ni, Ru)  
some are solids (Ni, Sr, Mg, In, La)  
some have low volatility (La, Sr)

# Alkoxide Ligands

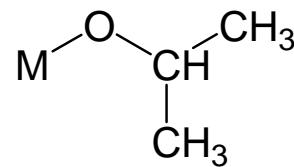
OMe = methoxy



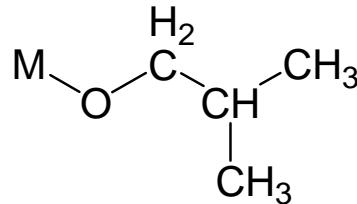
OEt = ethoxy



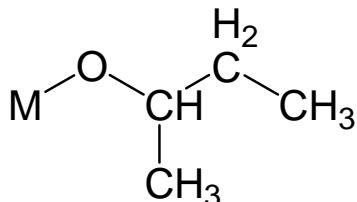
O*i*Pr = isopropoxy



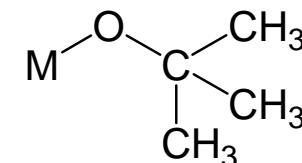
O*i*Bu = isobutoxy



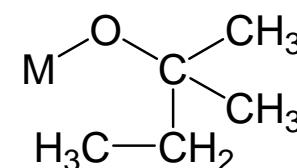
O<sup>s</sup>Bu = sec-butoxy



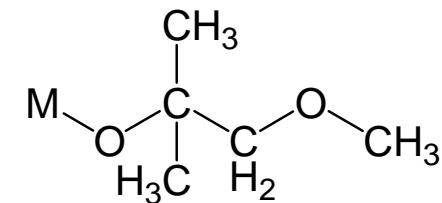
O<sup>t</sup>Bu = *tert*-butoxy



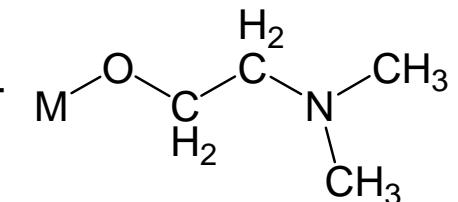
O<sup>t</sup>Pe = *tert*-pentoxy



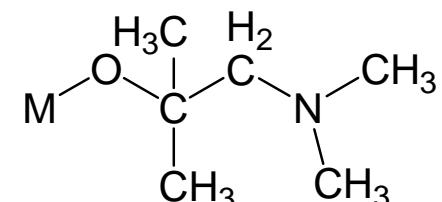
mmp = 1-methoxy-  
2-methyl-2-propoxy



dmae = dimethylamino-  
ethoxy



dmamp =  
1-dimethylamino-  
2-methyl-2-propoxy



# Elements with Alkoxide ALD Precursors

1																	18
H	2																He
Li	Be																
Na	Mg	3	4	5	6	7	8	9	10	11	12	B	C	N	O	F	Ne
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu			
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No			

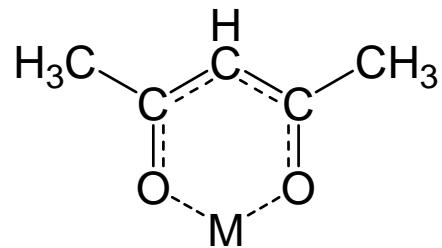
## Advantages:

reactive to water vapor => oxides

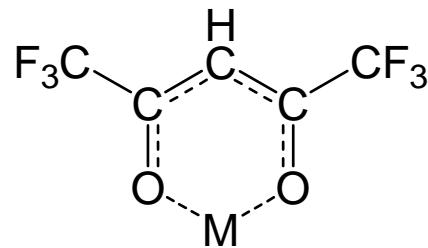
## Disadvantages:

limited thermal stability  
not suitable for making nitrides  
not suitable for making pure metals

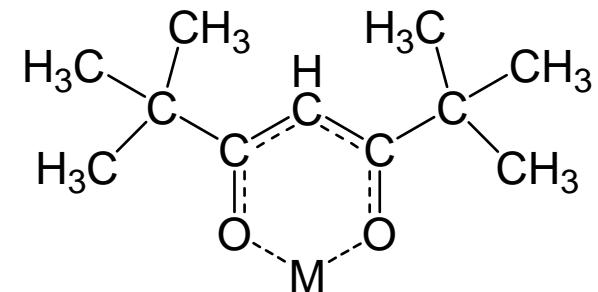
# Beta-diketonate Compounds



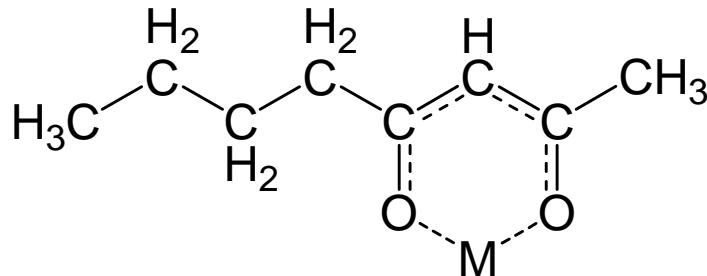
pentane-2,4-dionate, or  
acetylacetone (acac)



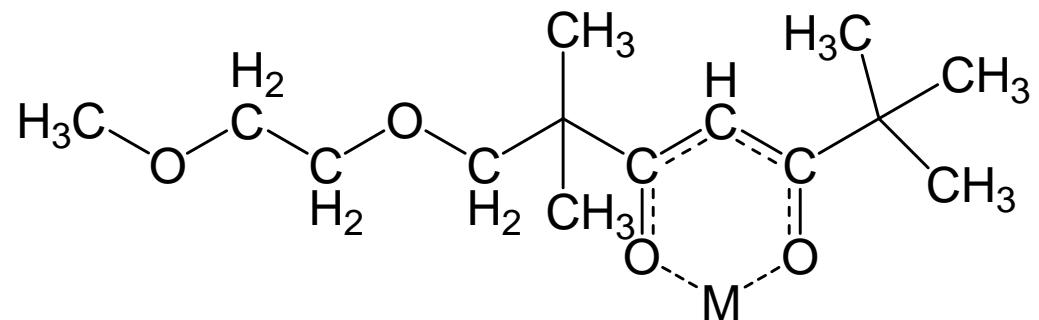
1,1,1,5,5,5-hexafluoro-  
acetylacetone (hfac)  
(more volatile)



2,2,6,6-tetramethyl-  
heptane-3,5-dionate  
(thd or tmhd)  
(more bulky)



octane-2,4-dionate (od)  
(lower melting point)



1-(2-methoxyethoxy)-2,2,6,6-tetramethyl-  
heptane-3,5-dionate (methd)  
(very bulky)

# Beta-diketonate ALD Precursors

1																	18
H	2																He
Li	Be																
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	P	S	Cl	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu			
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No			

**Advantage:**

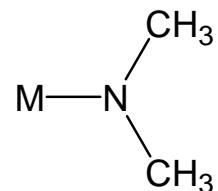
thermally stable

**Disadvantages:**

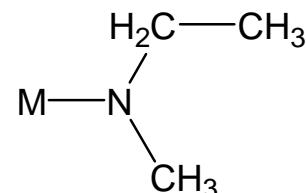
low reactivity  
not suitable for making nitrides  
not suitable for making pure metals

# Amide Ligands

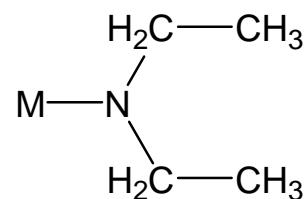
NMe<sub>2</sub> = dimethylamino = dimethylamido



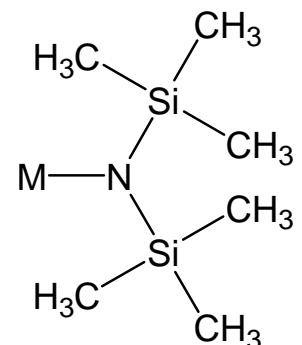
NEtMe = ethylmethylamino = ethylmethylamido



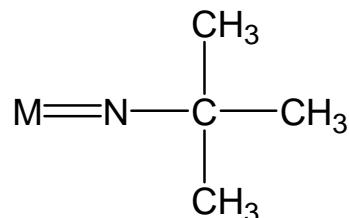
NEt<sub>2</sub> = diethylamino = diethylamido



N(SiMe<sub>3</sub>)<sub>2</sub> = bis(trimethylsilyl)amido = bis(trimethylsilyl)amino



N<sup>t</sup>Bu = tert-butylimino = tert-butylimido



# Amide and Imide Precursors for ALD

1																	18
H	2																He
Li	Be																
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	P	S	Cl	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu			
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No			

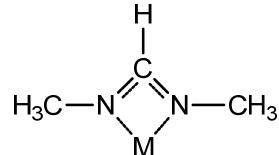
## Advantages:

highly reactive  
suitable for oxides and nitrides

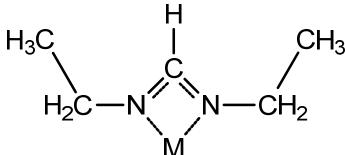
## Disadvantages:

limited thermal stability  
silicon impurity from silylamides

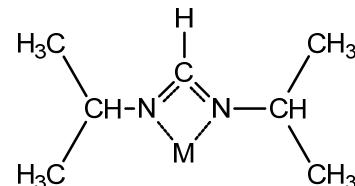
# Some Amidinate Ligands



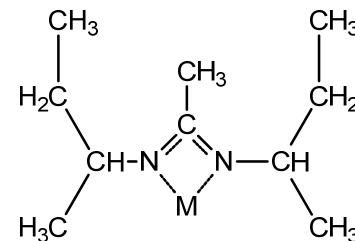
**N,N'-dimethyl-  
formamidinate  
(Me<sub>2</sub>fmd)**



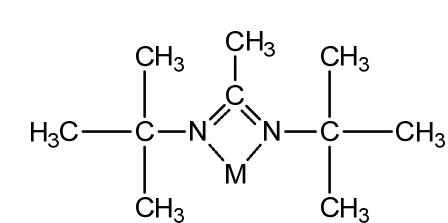
**N,N'-diethyl-  
formamidinate  
(Et<sub>2</sub>fmd)**



**N,N'-diisopropyl-  
formamidinate  
(iPr<sub>2</sub>fmd)**

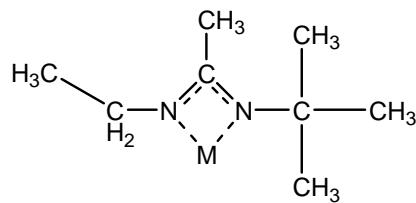


**N,N'-di-sec-butyl-  
acetamidinate  
(<sup>s</sup>Bu<sub>2</sub>amd)**

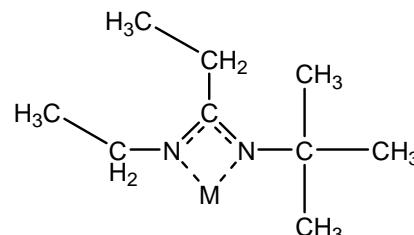


**N,N'-dimethyl-  
acetamidinate  
(tBu<sub>2</sub>amd)**

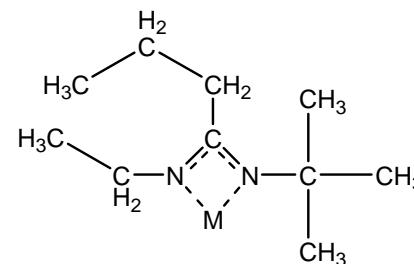
Increasing steric bulk →



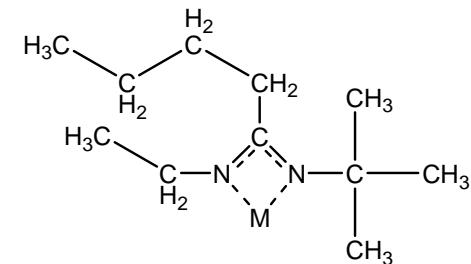
**N'-tert-butyl-  
N-ethyl-  
acetamidinate  
(tBuEt-amd)**



**N'-tert-butyl-  
N-ethyl-  
propionamidinate  
(tBuEt-pmd)**



**N'-tert-butyl-  
N-ethyl-  
butyramidinate  
(tBuEt-bmd)**



**N-ethyl-N'-  
tert-butyl-  
pentylamidinate  
(tBuEt-pemd)**

Increasing flexibility leads to decreasing melting points and liquids → 32

# Amidinate ALD Precursors

1																	18
H	2																He
Li	Be																
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	P	S	Cl	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg							

Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu			
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	Lr	No			

## Advantages:

- high reactivity to water => oxides
- high reactivity to ammonia => nitrides
- high reactivity to H<sub>2</sub>S => sulfides
- reactive to hydrogen gas H<sub>2</sub> => metals

## Disadvantage:

- some are solids, not liquids

# **TYPES OF ALD REACTIONS**

**ALD reactions usually transfer one atom  
from a surface-bound group to a vapor group, or  
from a vapor group to a surface-bound group (the reverse direction).**

**The transferred atoms are usually hydrogen, oxygen, fluorine or chlorine.**

**A few reactions transfer a whole group of atoms, not just a single atom.**

# Examples of ALD Reactions

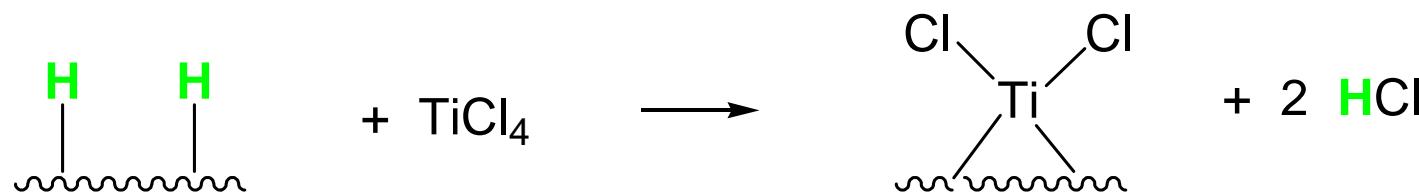
metals	<p>H-reduction reactions =&gt; transition metals (Ti, Mn, Fe, Co, Ni, Cu)</p> <p>oxygen O-transfer reactions =&gt; noble metals (Pt, Ru, Ir)</p> <p>fluoride to silicon reactions =&gt; tungsten or molybdenum</p>
oxides	<p>water H-transfer reactions =&gt; metal oxides</p> <p>ozone O-transfer reactions =&gt; metal oxides</p> <p>silanol H-transfer reactions =&gt; metal silicates</p>
nitrides	ammonia H-transfer reactions => metal nitrides
chalcogenides	chloride to trialkylsilyl reactions => selenides or tellurides
organics	ethanolamine H-transfer reactions => organic groups

# Metals by Reduction with H Atoms

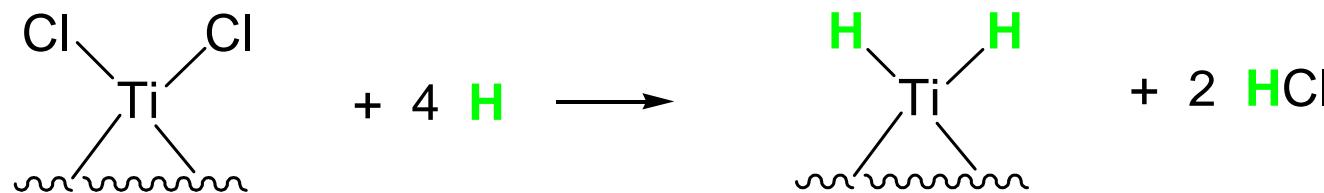
Titanium from titanium tetrachloride and hydrogen atoms in a plasma



Hydrogen atoms on surface transfer to chlorides on precursor:

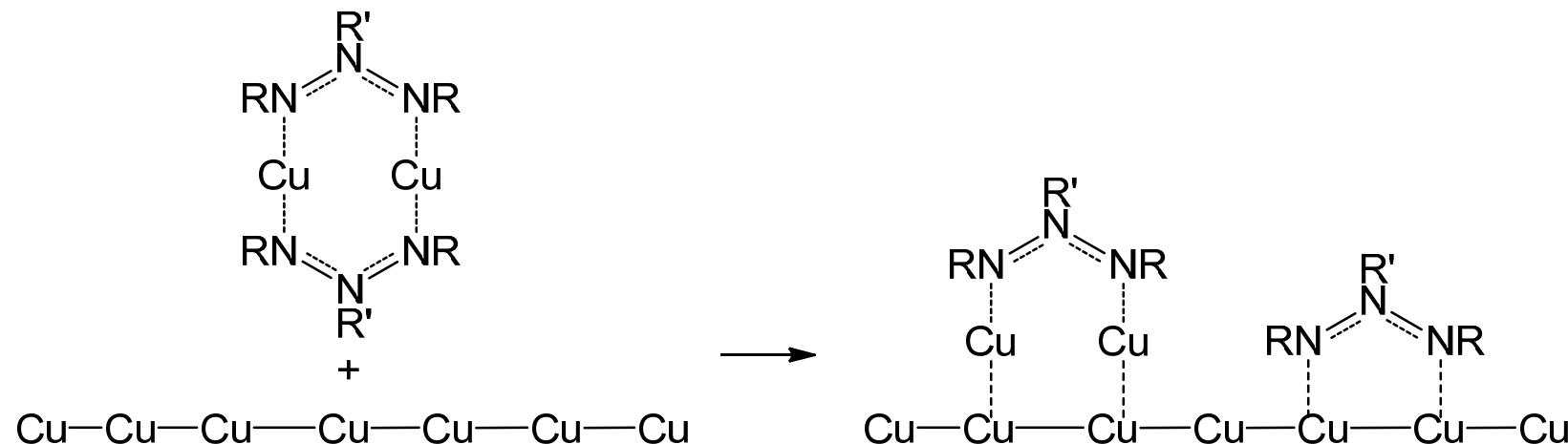


Hydrogen atoms from plasma remove chlorine as hydrogen chloride gas:

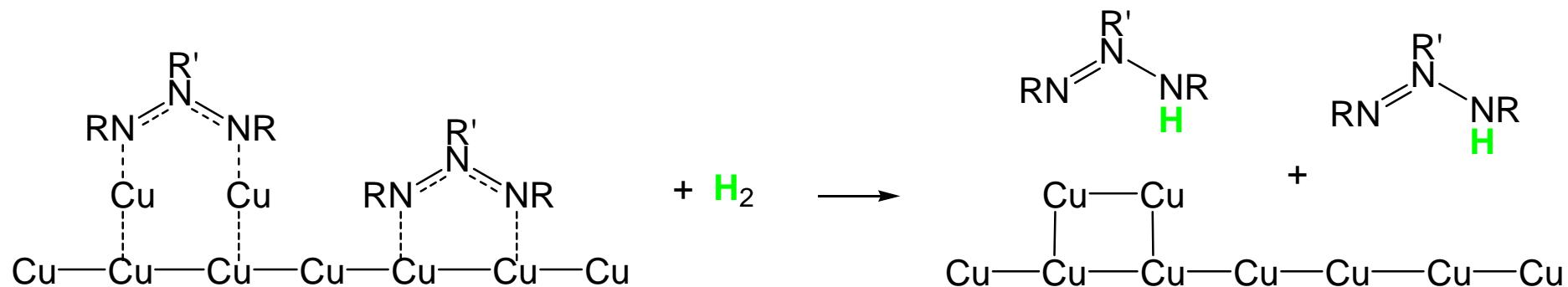


# Metals by Reduction with H<sub>2</sub> Molecules

Dissociative chemisorption of copper amidinate on a copper surface:

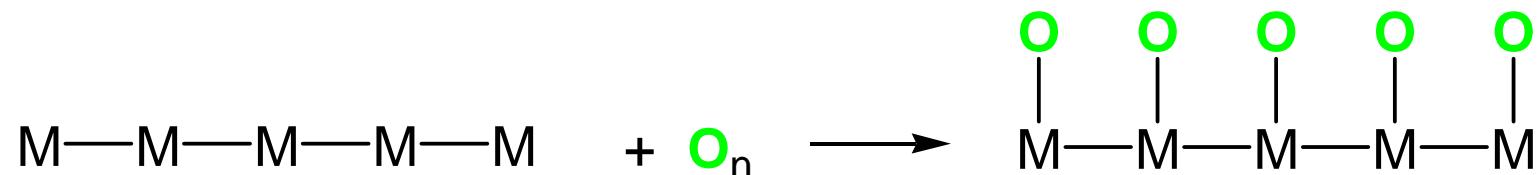


Hydrogen transfer to amidinate ligands to make copper & amidine vapor:

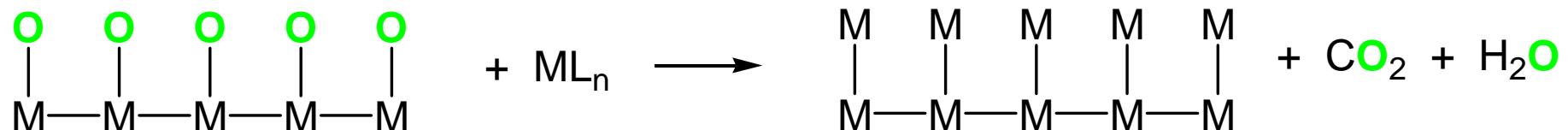


# Noble Metals by Oxidation Reactions

Oxygen atoms chemisorb on noble metals (platinum, ruthenium, etc.):



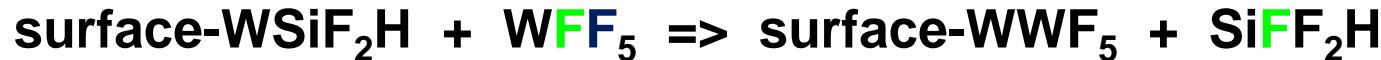
Adsorbed oxygen atoms burn ligands to form carbon dioxide and water:



# Tungsten Metal by Fluoride Exchange



a F atom moves from  $\text{WF}_6$  vapor to liberate Si from surface:



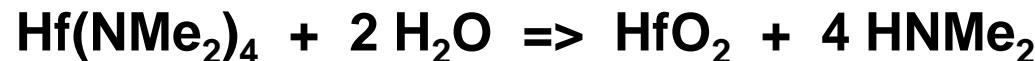
3 F atoms move from W on surface to break up  $\text{Si}_2\text{H}_6$  vapor:



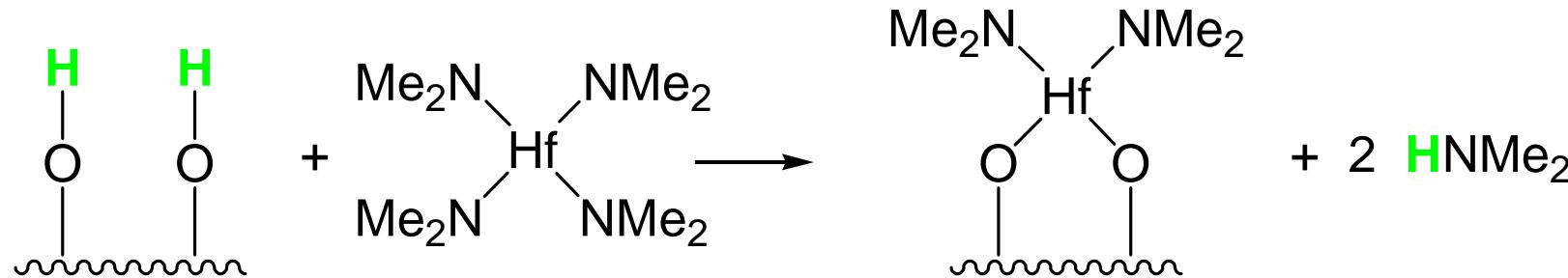
A very complex reaction, breaking 1 Si-Si, 5 W-F and 4 Si-H bonds while forming a new W-Si bond, 5 new Si-F bonds and 2 new H-H bonds

# Oxides by Hydroxyl Exchange & Hydrolysis

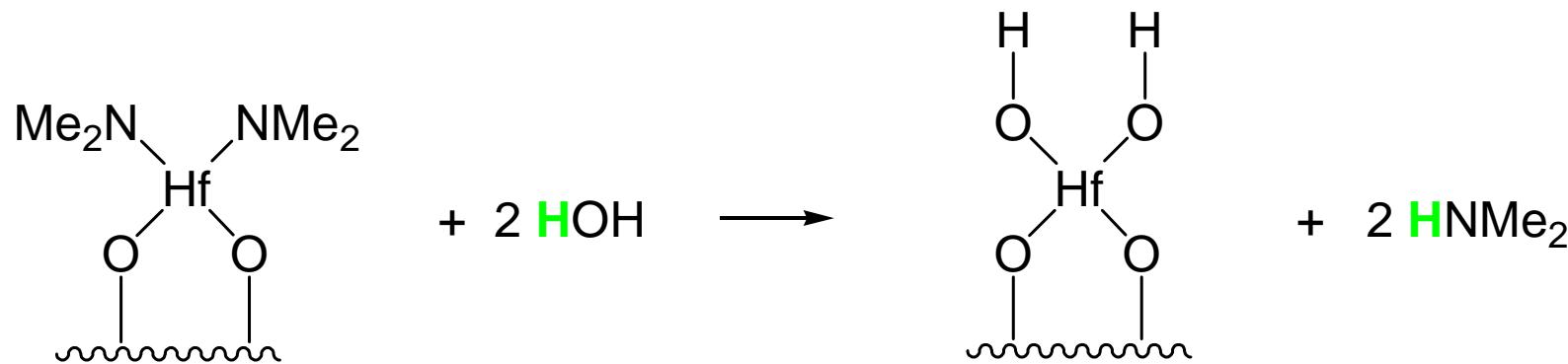
Tetrakis(dimethylamido)hafnium reacts with water to make hafnium dioxide



Chemisorption by hydrogen transfer to ligands to form dimethylamine gas:



Transfer of hydrogen from water to surface-bound dimethylamide ligands:

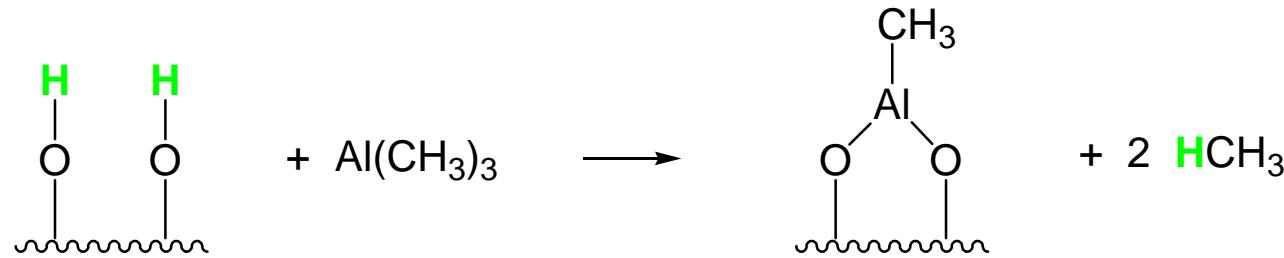


# Oxides by Oxidation with Ozone

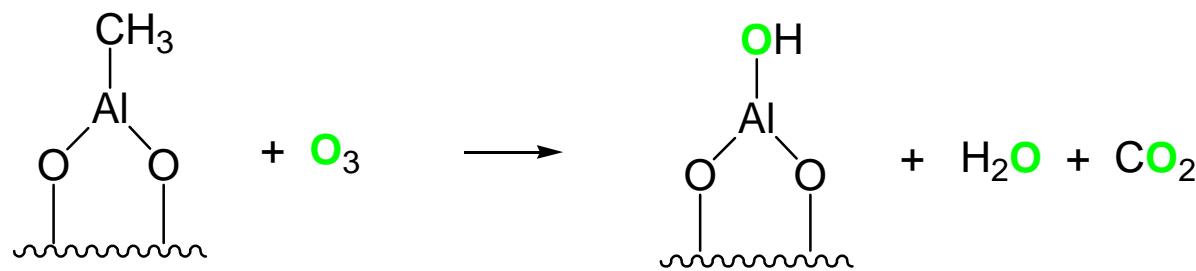
Trimethylaluminum reacts with **ozone** to make aluminum oxide:



Hydrogen atom transfer from surface hydroxyl to ligand to form methane:



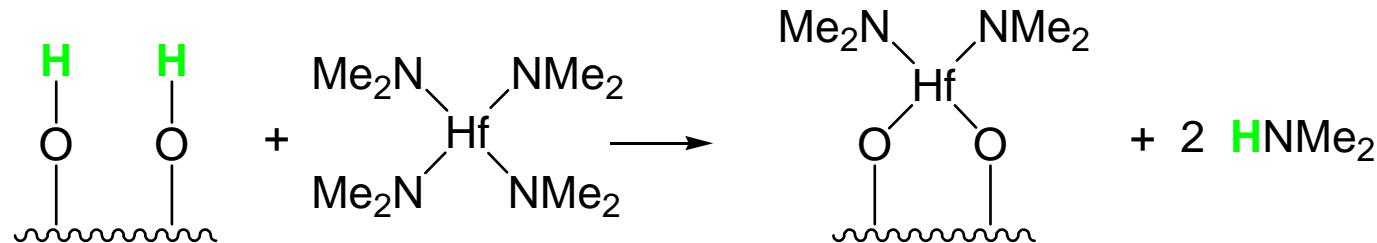
Oxygen atom transfer to surface ligand to form water and carbon dioxide:



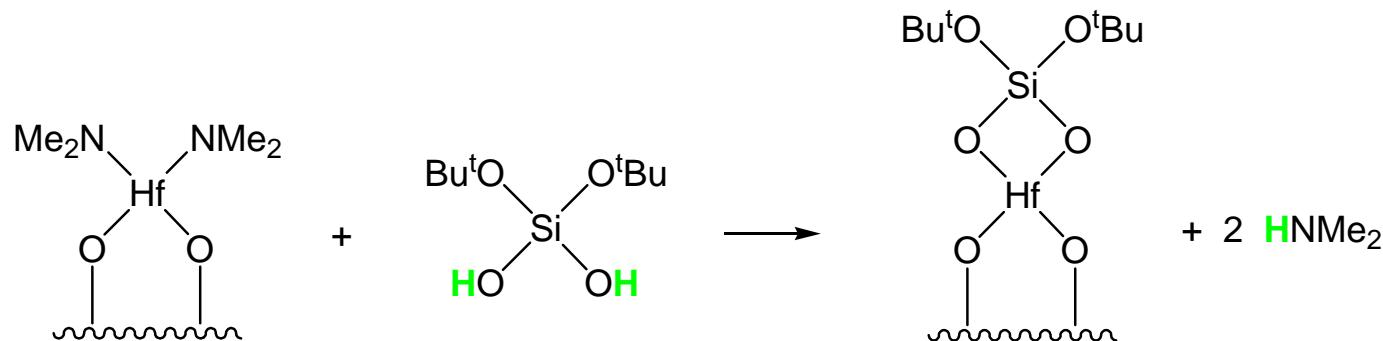
Water may not be detected because it reacts with other surface  $\text{CH}_3$  groups

# Metal Silicates from Silanol

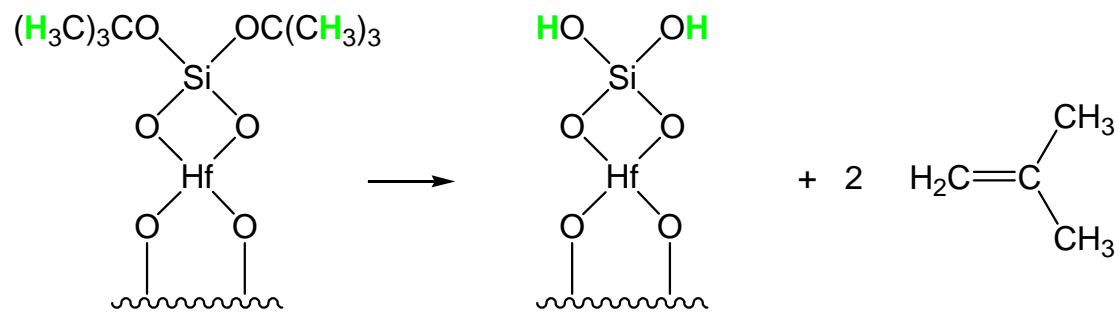
**Hydrogen atom transfer from surface hydroxyls to dimethylamide ligands:**



**Hydrogen atom transfer from silanol to surface-bound dimethylamides:**



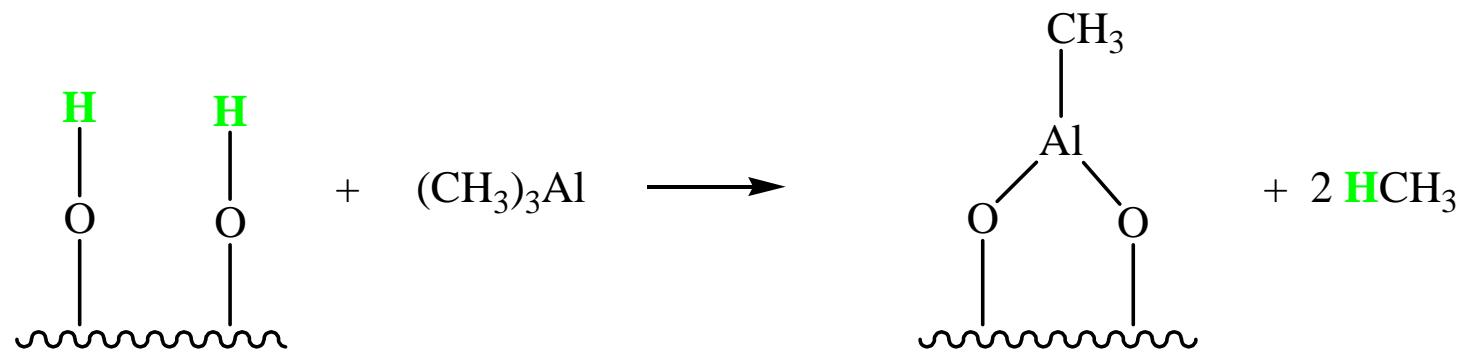
**Regeneration of surface hydroxyls by hydrogen from tertiary butyl groups:**



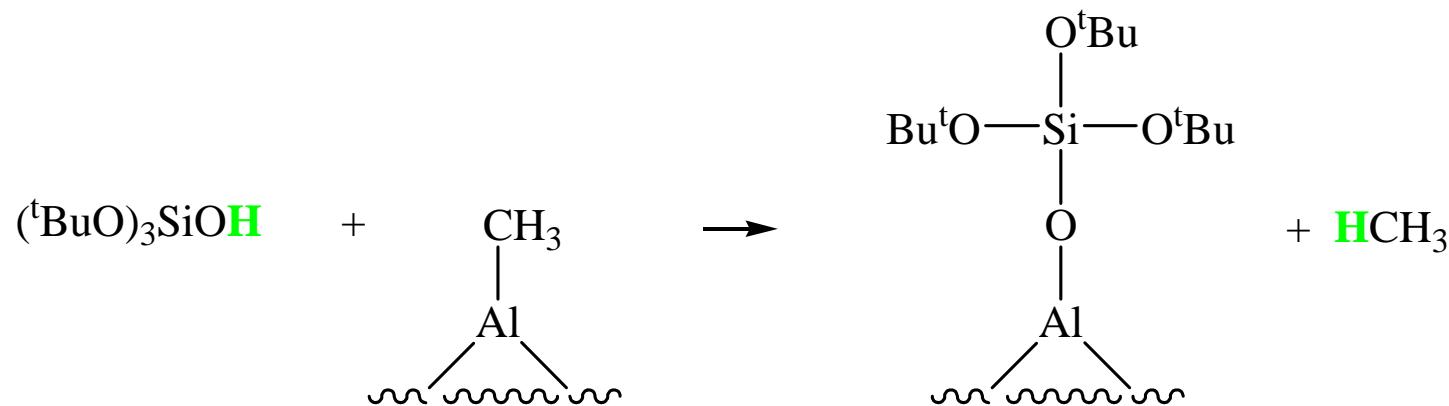
# Al-doped SiO<sub>2</sub> from AlMe<sub>3</sub> and (tBuO)<sub>3</sub>SiOH

=> very large growth per cycle, up to 15 nm, > 50 monolayers

Hydrogen atom transfer from surface hydroxyl to methyl ligands:

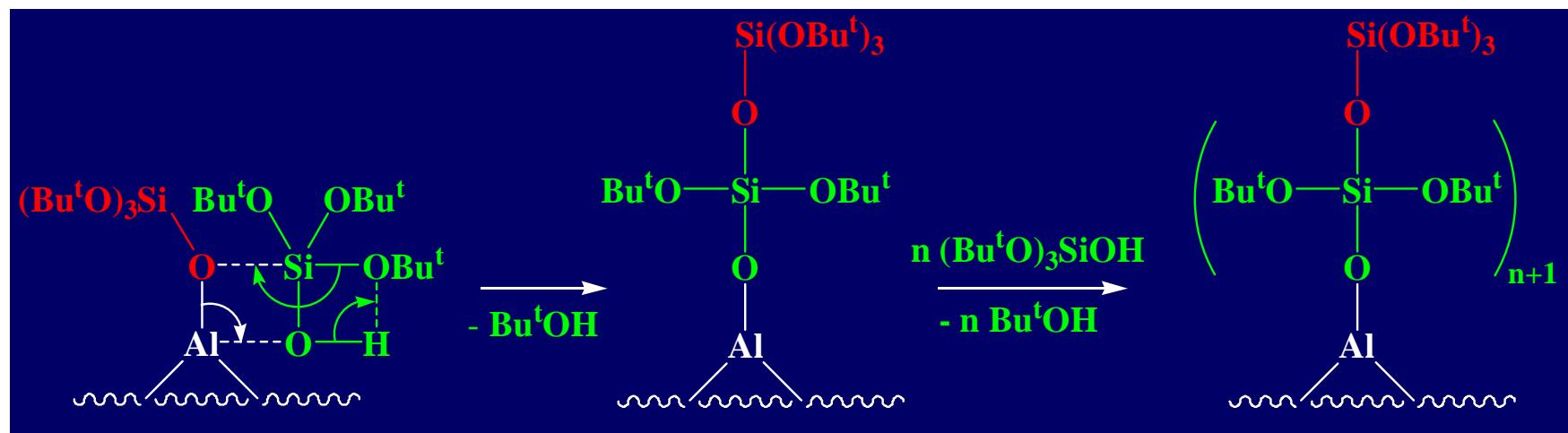


Hydrogen atom transfer from silanols to methyl ligands:



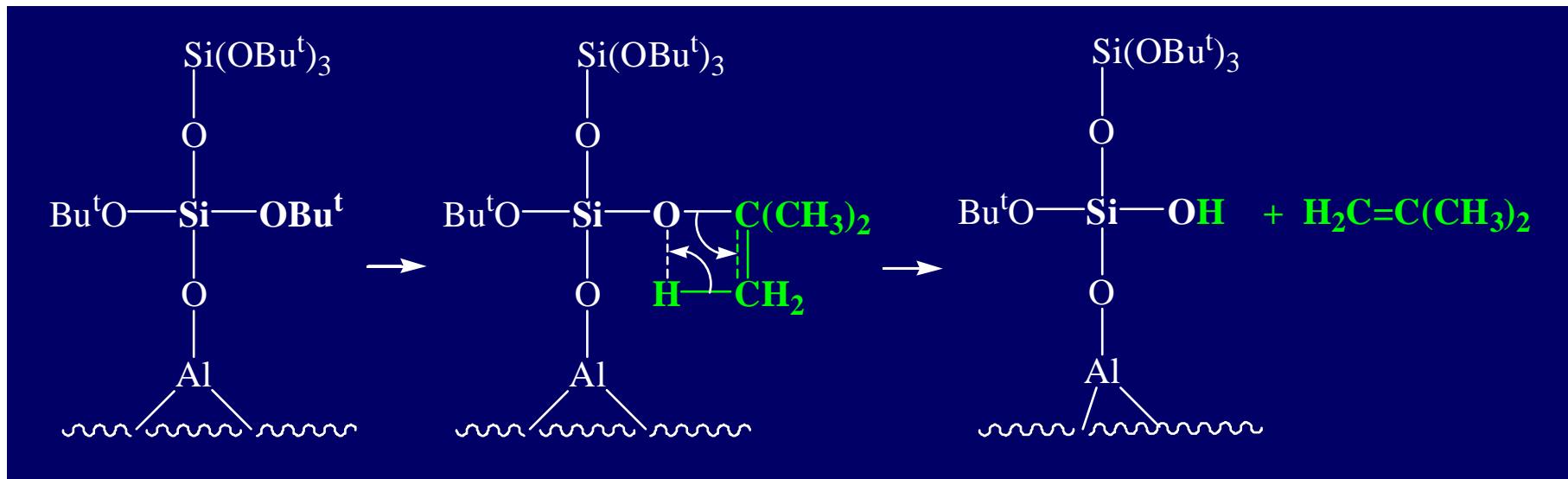
# Al-doped SiO<sub>2</sub> from AlMe<sub>3</sub> and (tBuO)<sub>3</sub>SiOH

Repeated insertions of (tBuO)<sub>3</sub>SiOH into an Al-O bond produces a siloxane polymer tethered to the surface:



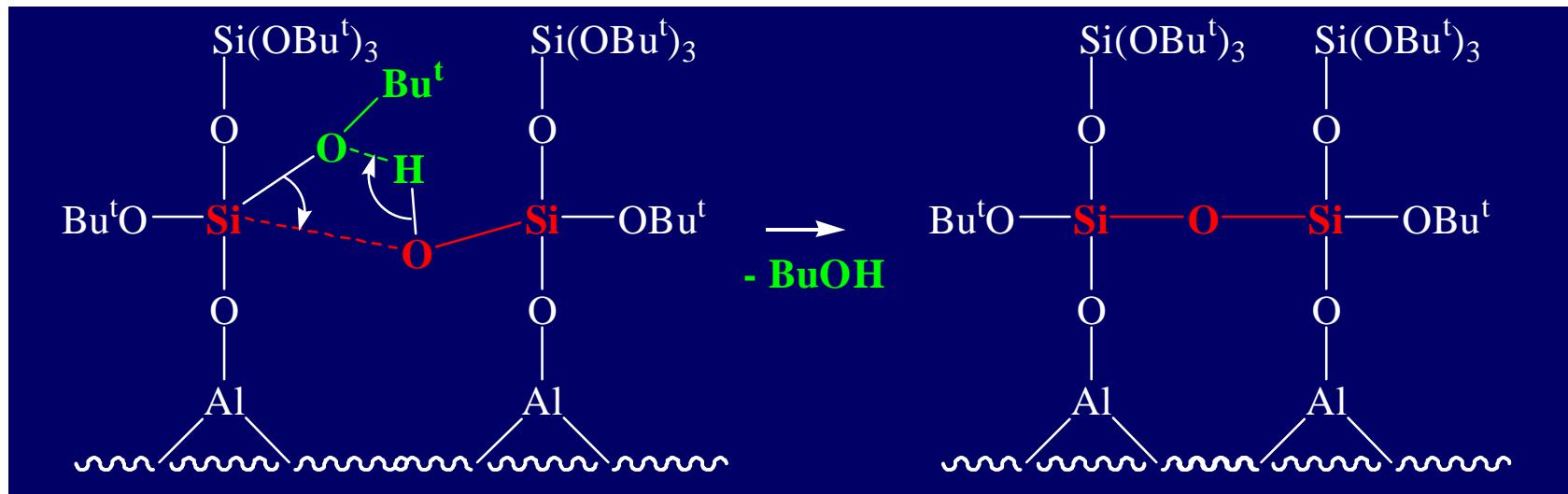
# Al-doped SiO<sub>2</sub> from AlMe<sub>3</sub> and (tBuO)<sub>3</sub>SiOH

Elimination of isobutene by  $\beta$ -hydrogen transfer:



# Al-doped SiO<sub>2</sub> from AlMe<sub>3</sub> and (tBuO)<sub>3</sub>SiOH

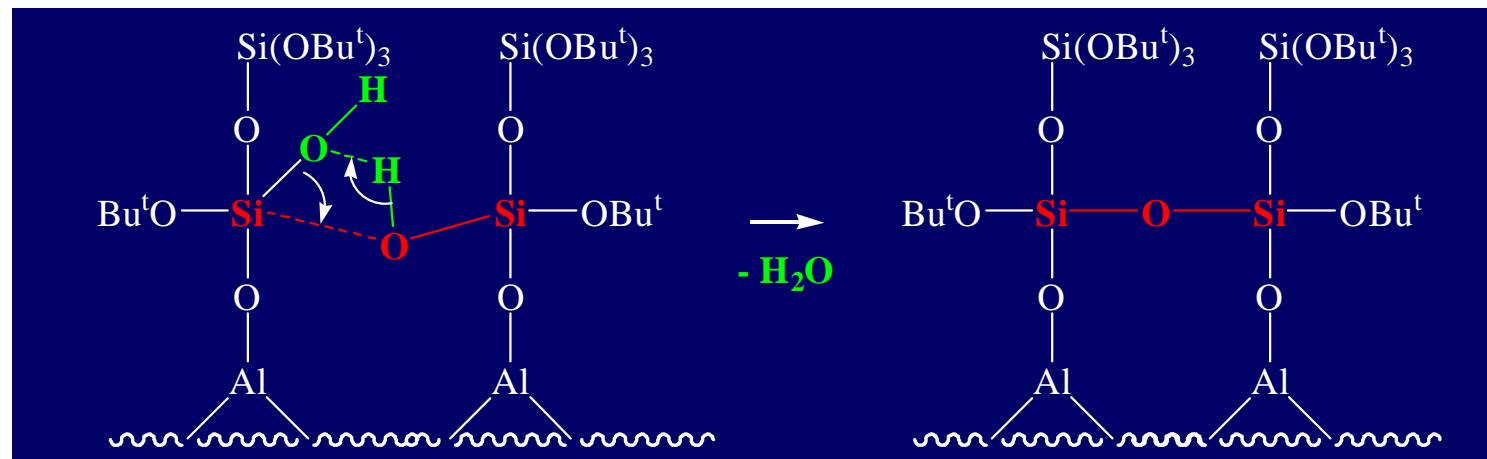
Siloxane polymer chains cross-link by elimination of *tert*-butanol:



Complete crosslinking produces a **solid silica** layer that is impervious to diffusion of more silanol up to the aluminum catalyst, so reaction stops.

# Al-doped SiO<sub>2</sub> from AlMe<sub>3</sub> and (tBuO)<sub>3</sub>SiOH

Elimination of water also cross-links polymer chains:



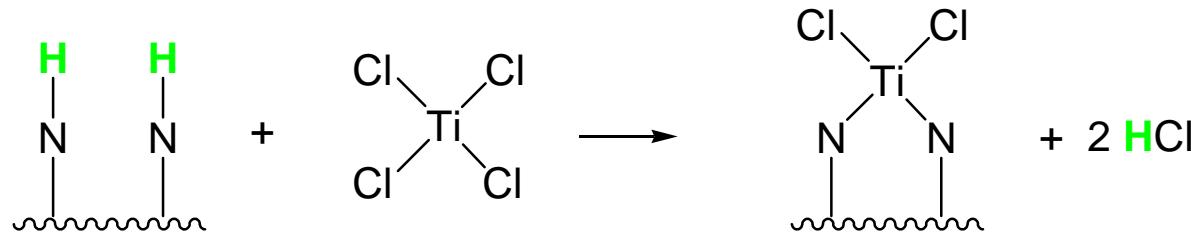
Complete crosslinking produces a **solid silica** layer that is impervious to diffusion of more silanol up to the aluminum catalyst, so reaction stops.

# Nitrides by Chloride Exchange and Reduction

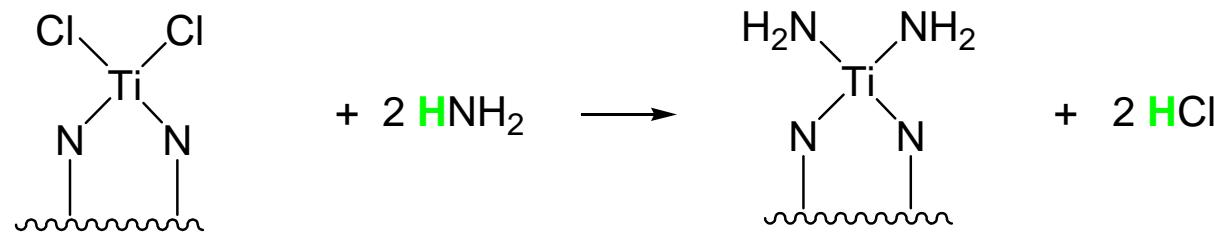
Titanium(IV) tetrachloride plus ammonia makes titanium(III) nitride:



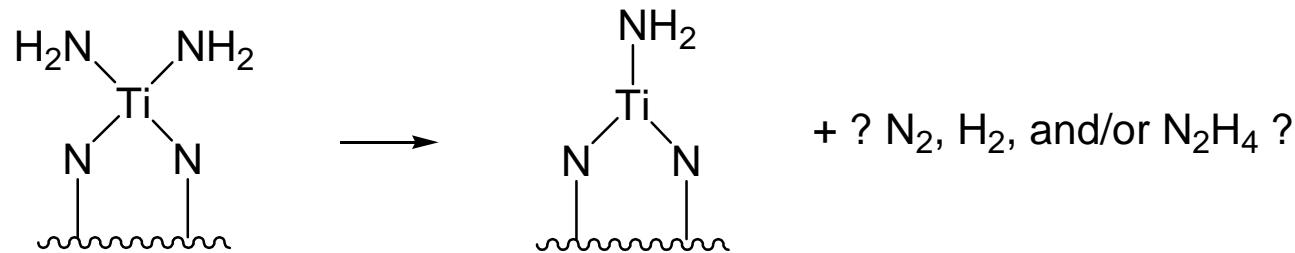
Hydrogen atom transfer from surface amides to chlorides on precursor:



Hydrogen atom transfer from ammonia to surface-bound chloride ligands:

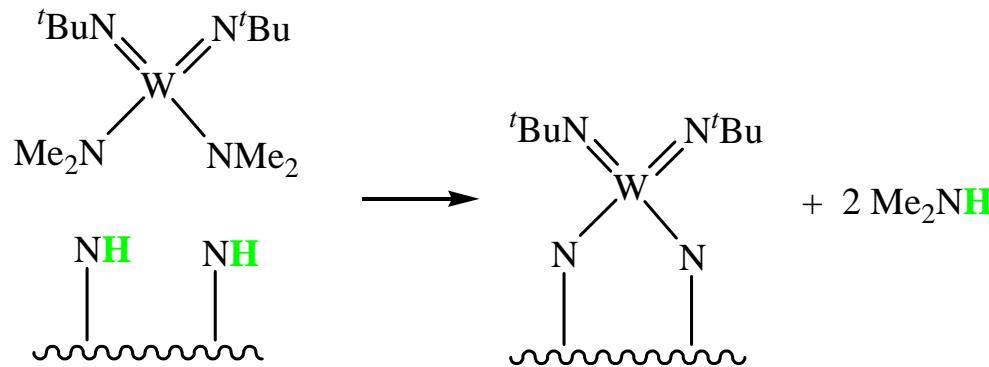


Titanium in oxidation state +4 is reduced to +3 by elimination reactions:

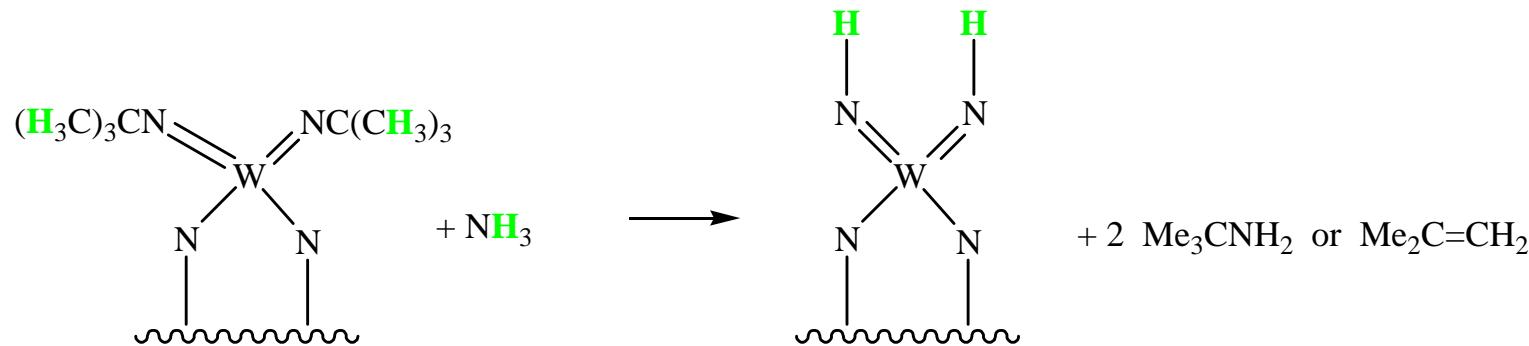


# Tungsten Nitride by Exchange and Catalysis

Hydrogen transfer from surface imides to dimethylamides on precursor:



Hydrogen transfer to imides from ammonia or from *tert*-butyl imido group?

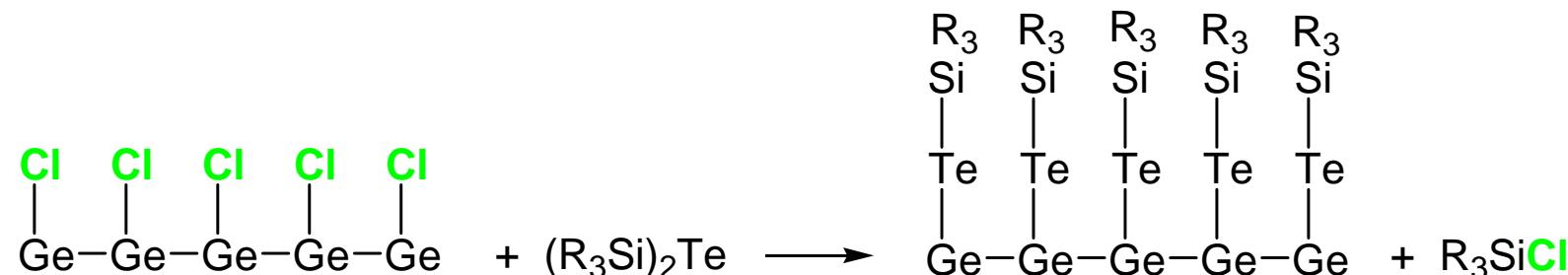


Reductive elimination of nitrogen to reduce W(VI) to W(III)

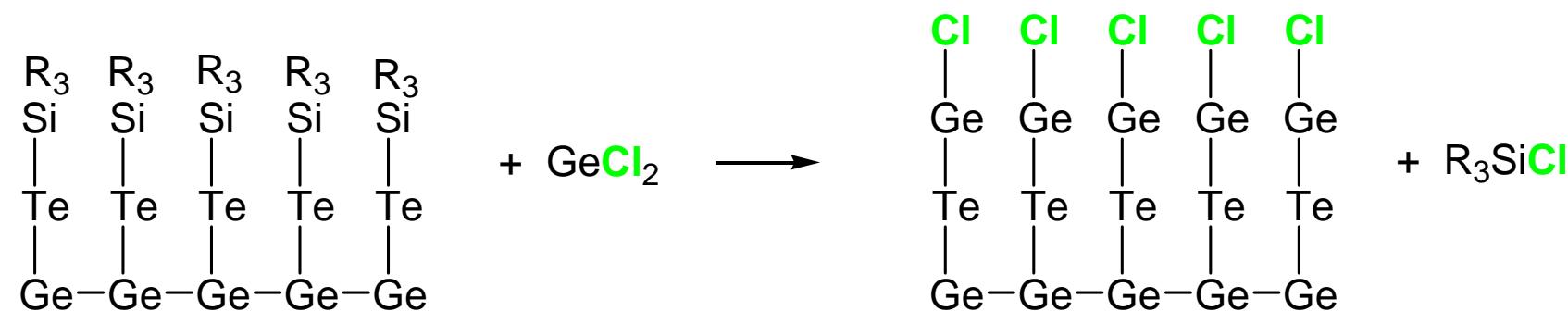


# Tellurides by Chloride Exchange Reactions

**Chlorine atoms on surface move to trialkylsilyl groups on tellurium:**



**Chlorine atoms on germanium remove surface trialkylsilyl groups:**



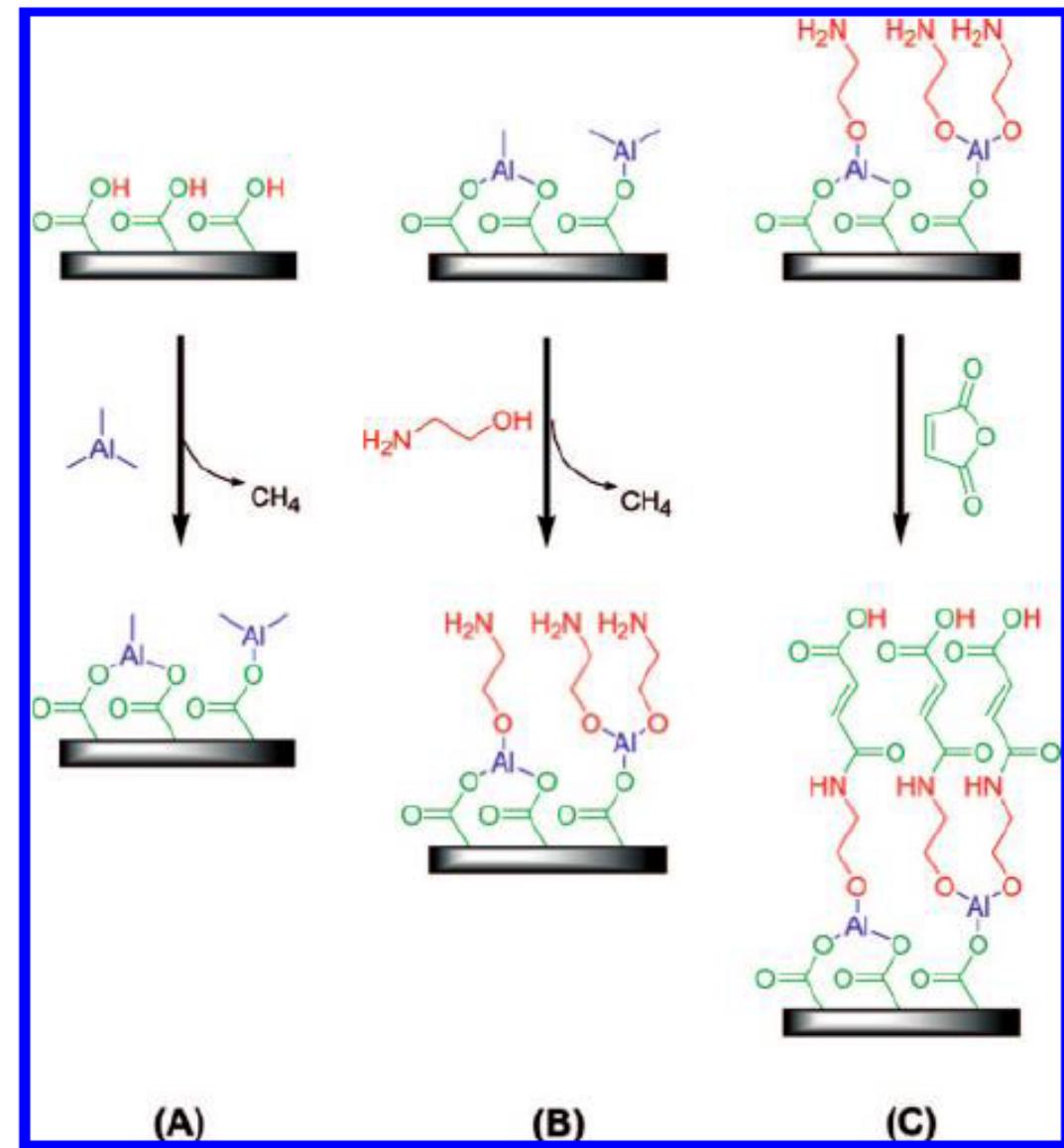
# Adding Organic Components to ALD Films

A) trimethylaluminum

B) ethanolamine

C) maleic anhydride

Adds flexibility to  
brittle inorganic films



# Problems When the Chemistry is Wrong

## Thermal decomposition

destroys the self-limiting property of surface reactions  
thickness uniformity, step coverage and film purity degraded

## Incomplete surface reactions can incorporate ligands as impurities

slow kinetics can be alleviated by longer exposure times, or  
too low thermodynamic driving force => change precursors

## Incomplete step coverage

need longer exposure time or higher precursor vapor pressure  
but may be limited by decomposition or desorption of precursor

## Etching by precursor or reaction byproducts

mostly from halide precursors (chlorides, bromides)

# **Summary**

**ALD precursors are available for most non-radioactive elements**

**Suitable reactant pairs are known for ALD of**

**some pure elements**

**oxides of most elements**

**nitrides of many elements**

**sulfides, selenides and tellurides of some elements**

**phosphides and arsenides of a few elements**

**fluorides of a few elements**

**ALD reactions usually involve**

**exchange reactions between surface groups and vapor groups**

**exchanged atoms are usually hydrogen, oxygen or halogen**

# Recent Reviews of ALD Chemistry and Applications

R. Puurunen, *J. Appl. Phys.* 97, 121301 (2005)

J. Paivasaari et al., *Topics in Appl. Phys.* 106, 15 (2007)

M. Ritala and J. Niinisto, in *Chemical Vapor Deposition* (Royal Society of Chemistry, 2009)

S. M. George, *Chem. Rev.* 110, 111 (2010)

S. D. Elliott, *Langmuir* 26, 9179 (2010)

W. M. M. Kessels et al., *J. Vac. Sci. Technol. A* 29, 050801 (2011)

G. N. Parsons et al., *J. Vac. Sci. Technol. A* 30, 010803 (2012)

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